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## **Chemical Communications**

# Optically-Regulated Thermal Energy Storage in Diverse Organic Phase-Change Materials

Received 00th January 20xx, Accepted 00th January 20xx Grace G. D. Han<sup>a</sup>, Joshua H. Deru<sup>b</sup>, Eugene N. Cho<sup>a</sup>, Jeffrey C. Grossman<sup>a\*</sup>

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Thermal energy storage and release in aliphatic phase-change materials are actively controlled by adding azobenzene-based photo-switches. UV activation of the additives induces supercooling of the composites, allowing for longer thermal storage at lower temperatures. The mechanism of this process is studied by comparing phase change behavior across diverse materials.

Low-grade thermal energy storage in organic phase-change materials (PCMs) via solid-liquid phase transition shows potential efficacy in unique applications including thermoregulating fabrics,<sup>1</sup> temperature-adaptable buildings,<sup>2</sup> and thermal protection of electronic devices,<sup>3</sup> biomedical products,<sup>4</sup> and food<sup>5</sup> due to the low melting points (between 0 and 250 °C),<sup>6</sup> low cost,<sup>7</sup> and diverse form factors<sup>8, 9</sup> that organic PCMs present. Latent heat storage provides generally higher storage capacity and efficiency than sensible heat storage and also enables heat release at a targeted temperature determined by the phase transition temperature.<sup>10</sup> One drawback of latent heat storage in PCM is the sole dependence of PCM crystallization on the ambient temperature and consequent lack of control in determining when the stored energy is released.<sup>11</sup> Nano-confinement of organic PCMs in diverse porous materials,<sup>12</sup> particularly carbon-based materials such as carbon aerogels<sup>13</sup> and graphene oxides,<sup>14</sup> has been reported as a successful method which increases thermal conductivity of PCM composites and shifts crystallization points (T<sub>c</sub>) of PCMs. However, the nanoconfined PCMs still exhibit fixed T<sub>c</sub>, determined by the composition of PCMs and nano-structured host materials, thus their phase passively responds to the changing ambient temperature.

Recently, we developed an active and dynamic method to

prevent crystallization as the PCM cools to temperatures below the original phase transition temperature by incorporating photo-switching dopants.<sup>15</sup> Although photoswitches were previously investigated as dopants in liquid crystals<sup>16-18</sup> to optically change their phases, the application in thermal energy storage as integrated to traditional latent heat storage materials was newly discovered. In that proof-ofconcept work, we demonstrated that the liquid phase of an organic PCM, tridecanoic acid, can be preserved at temperatures lower than its pure-phase crystallization point due to the increased interaction with photo-switching azobenzene dopants upon UV activation. When cooled below T<sub>c</sub> the new energy barrier for the liquid-to-solid transition introduced by the presence of switched azobenzene dopants<sup>19</sup> can be overcome by simple visible light illumination, which switches the dopants back to their starting configuration and triggers the heat release from the liquid PCM composite. This hybrid photo-switch/PCM system demonstrated prolonged thermal storage well below the original Tc, enabled by the activated photo-switches, without compromising storage capacity due to the presence of metastable cis dopants that store additional thermal energy.<sup>15</sup> The hybrid system show stability over 100 cycles (50 hours of fast cycling operation) and thermal storage time over 10 hours under a supercooled condition, while pristine PCMs crystallize within minutes when cooled below T<sub>c</sub>.

While the prior work focused on showing the proof-ofconcept of light-induced retention of thermal energy storage, and illustrates the impact of varying azobenzene dopant structures on the storage and release cycle, the scope of organic PCMs was only limited to a single, representative fatty acid or tridecanoic acid. Understanding the breadth of flexibility of the concept, particularly regarding dependence on PCMs and temperature ranges, is an important next step for a deeper understanding of this approach and identification of fundamental limitations as well as opportunities for further design and future applications. Herein, we explore the optical regulation of thermal energy storage in diverse aliphatic PCMs and demonstrate the different degrees of lowering  $T_c$  of each PCM depending on their chain lengths and functional groups.

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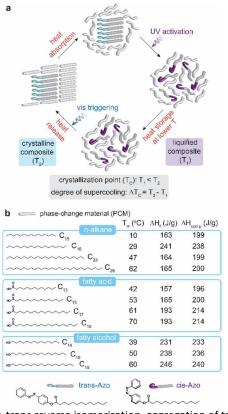
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The structures of the PCMs determine the degree of intermolecular interaction and the operation temperature of heat storage, and our results shed light on parameters and conditions that can be controlled to change the nucleation and crystallization of this class of thermal storage materials.

The strategy to make the T<sub>c</sub> of organic PCMs optically triggerable by adding photo-switches which stabilize the liquid phase of PCMs upon UV activation is described in Fig. 1a. The crystalline composite is first obtained by simply mixing aliphatic organic PCMs and azobenzene-derived dopants possessing linear alkyl functional groups that enhance the interaction between the two components. Upon heat absorption, the PCMs first melt ( $T_m$  ranging from 10 to 70 °C) while the azobenzene dopants with higher melting point of 73 °C remain aggregated and dispersed in the liquid PCM. UV illumination on the suspension activates trans-Azo dopants to isomerize into the cis conformation, which presents higher polarity and steric bulk compared to the trans form. The interaction between cis-Azo and the liquid PCM molecules effectively stabilizes the liquid phase of the composite down to lower temperatures than the intrinsic  $T_{\text{C}}$  of the initial composite  $(T_2)$  with trans-Azo. The new  $T_c$  of the UV-activated composite  $(T_1)$  and the difference between  $T_1$  and  $T_2$ , defined as  $\Delta T_c$ , become important metrics for the system.  $\Delta T_c$ , in particular, represents the degree of light-induced supercooling obtained in each composite or the range of temperature over which the liquid-phase heat storage material is stabilized without losing heat through solidification. The stored heat can be released by optical triggering with visible light which causes



the cis-to-trans reverse isomerization, aggregation of trans-Azo

Fig. 1 (a) Schematic illustration of heat storage and release cycle in the composite of PCMs and photo-switching dopants. (b) Chemical structures of PCMs investigated in this work along with their melting point ( $T_m$ ), their intrinsic heat of fusion ( $\Delta H_r$ ), and heat storage capacity of respective composites containing 50 wt% azobenzene dopants ( $\Delta H_{comp}$ ). We note that the  $\Delta H_{comp}$  values are estimated, based on the calculations (Supporting Note 1). Chemical structures of photo-switching dopants in two isomeric conformations before and after UV activation.

which forms nucleation seeds, and the rapid crystallization of the PCM composite.

The structural variation of aliphatic organic PCMs (Fig. 1b) provides a wide range of melting points (T<sub>m</sub>), which defines temperatures of heat storage, and heat of fusion  $(\Delta H_f)$  that generally increases as the chain becomes longer, despite some exceptions.<sup>20</sup> Three groups of aliphatic PCMs including nalkane,<sup>21</sup> fatty acid,<sup>22</sup> and fatty alcohol,<sup>23</sup> were investigated in this study to expand the scope of PCMs and to compare the impact of relative polarity of PCMs on the efficiency of heat storage and on the intermolecular interactions that operate the heat storage cycle. We used one type of azobenzene dopant with a C<sub>13</sub> chain, unlike the previous work<sup>15</sup> that investigated the variation of dopant structures, in order to now focus on the role of PCM types and their interaction with the azobenzene unit upon isomerization. The expected heat storage capacities in the composites are listed next to the heat of fusion of pristine PCMs based on assuming complete cis-totrans conversion of dopants and complete crystallization of composites (Supporting Note 1).

Within this framework of light-controlled heat storage and release, we expect the trans-to-cis isomerization of dopants and their modified interaction with the surrounding PCM molecules to be key components that dictate the properties of supercooled PCMs. With the aim of maximizing the degree of supercooling ( $\Delta T_c$ ), we first varied the ratio of dopants in the PCMs (Fig. 2a) which resulted in volcano-shaped plots ( $\Delta T_c$  vs. additive ratio) for two distinct PCMs with significantly different polarity, chain length, and melting point. Highly polar C13-acid exhibits a max.  $\Delta T_c$  of 10.5  $^{\circ}C$  at 35 mol% additive ratio as a result of the maximized supercooling induced by the polar cis-Azo dopants with steric bulk. Upon further increasing the additive ratio, the incomplete UV charging of trans-Azo and the remaining nucleation seeds reduce the degree of supercooling.<sup>15</sup> Non-polar C<sub>28</sub> alkane shows a similar plot with max.  $\Delta T_c$  of 3.5 °C at higher (50–60 mol%) additive ratios.

These offset plots for two dissimilar PCM systems show overlapping features when  $\Delta T_c$  is plotted as a function of mass (as opposed to mol) % of dopants in the composite (Fig. 2b). For both composites, the max.  $\Delta T_c$  is found at around 50 wt%, which infers that mass or volume ratio of dopants determines

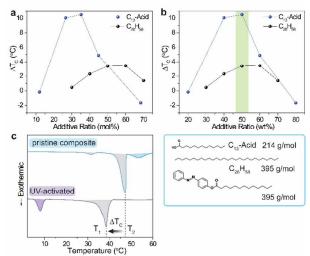


Fig. 2 (a)  $\Delta T_c$  measured for both  $C_{13}$ -acid and  $C_{28}H_{58}$  composites while varying the molar additive ratio in composite. (b)  $\Delta T_c$  plotted against the additive mass ratio in each composite. (c) Differential scanning calorimetry plot of composite ( $C_{15}$ -acid with 50 wt% dopants) before and after UV activation. The grey-shaded exothermic peaks represent the crystallization of PCM molecules, and the lowered crystallization point (from T<sub>2</sub> to T<sub>1</sub>) is shown. The blue-shaded peak exhibits the crystallization of trans-Azo, while the purple-shaded peak shows the crystallization of cis-Azo within the composite.

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max.  $\Delta T_c$  independent of the type of PCM. The molecular weight of C<sub>28</sub>H<sub>28</sub> is identical to that of the azobenzene dopant, while the molecular mass of C13-acid is about half (54%) that of the azobenzene dopant. Therefore, the degree of supercooling caused by each dopant isomerization in the  $C_{13}$ -acid is much higher than in the C<sub>28</sub>H<sub>28</sub> as shown in Fig. 2a. Upon identifying the optimal additive ratio (50 wt%), we applied the identical condition to other PCM systems for further investigation and to achieve max.  $\Delta T_{C_1}$  We also note that the  $C_{13}$ -acid composite with the highest additive ratio (80 wt%) has a small negative  $\Delta T_{c}$  (-1.7 °C), which is caused by the presence of excess dopant that has a higher  $T_c$  (61  $^{\circ}C$ ) than that of the PCM (38 °C). As shown in Fig. S1, both C<sub>13</sub>-acid and trans-Azo are significantly supercooled in the composite even before UV activation, displaying a  $T_c$  of 26 °C and 48 °C, respectively. Upon UV irradiation, only 20% trans-to-cis isomerization occurs, as analyzed by the integration of differential scanning calorimetry (DSC) exothermic peaks, and the non-uniform dopant mixtures solidify over a broader temperature range of 49–55  $^{\circ}$ C (Fig. S1). In this particular case,  $\Delta T_{c}$  is defined as the difference between  $T_c$  of excess dopants, which leads to the abnormal and insignificant value.

Fig. 2c shows representative DSC plots taken on a composite ( $C_{15}$ -acid, 50 wt% dopant) before and after UV activation.  $\Delta T_c$  is measured by comparing the position of the main PCM crystallization peaks that are observed while cooling the UV/thermally-charged liquid composite from temperatures above  $T_m$  of trans-Azo (73 °C). For the uncharged composites, trans-Azo solidifies at ~55 °C, followed by crystallization of the PCM at around its intrinsic  $T_c$  ( $T_2$ ). A small exothermic peak ~32  $^{\rm o}{\rm C}$  represents a minor polymorph of the  ${\rm C}_{\rm 15}\text{-}{\rm acid.}^{\rm 24}$  The UVactivated composites display the PCM crystallization at T<sub>1</sub> that is much lower than T<sub>2</sub>. The solidification of cis-Azo appears at ~9 °C which proves the presence of liquid cis-Azo intermixed with liquefied PCM under the supercooled condition above 9  $^{\circ}$ C. At any temperature between  $T_1$  and  $T_2$ , the heat release from the supercooled liquid PCM composite can be controllably triggered by visible light illumination that isomerizes dopants and creates nucleation seeds of trans-Azo.

Fig. 3a shows the average  $\Delta T_c$  measured for each composite containing 50 wt% photo-switches. Notably,  $\Delta T_{c}$ generally decreases as the PCM possesses longer chains and higher melting points (Fig. 3b), except for  $C_{15}H_{32}$  which showed a negligible  $\Delta T_{C}.~T_{m}$  of the PCM defines the heat storage temperature, and the lower  $\Delta T_{C}$  measured at operation temperatures above 50 °C can be explained by the presence of an activation energy for azobenzene reverse isomerization (Fig. 3c). The activation energy for azobenzene derivatives that are mono-functionalized with ester or amide groups is known to be  $\Delta H^{\dagger}$  of 88–92 kJ/mol and  $\Delta S^{\dagger}$  of – 41–56 J/mol,<sup>25, 26</sup> and the thermal triggering of cis-to-trans isomerization occurs at a temperature range of 70-120 °C (Fig. S2) in the solid state, which effectively deactivates the photo-switch. Therefore, at higher temperatures, the ratio of cis isomer decreases, leaving the discharged trans-Azo acting as nucleation seeds in the composite, which in turn results in a lower value for  $\Delta T_{c}$ . Once the temperature is as high as 70 °C, there is a very low number of cis-Azo molecules in the system, making the difference between the composite before and after UV activation negligible.  $C_{15}H_{32}$ , despite the low  $T_m$  of ~10 °C, showed negligible supercooling, due to the poor miscibility of azobenzene in the short non-polar linear alkane.

The ratio between cis and trans isomers after UV activation in each composite can be studied by analysing <sup>1</sup>H NMR of the composite, following the UV charging for an identical time period (Fig. 3d). The percent charged indicates the yield of UVactivated trans-to-cis conversion. The max. charging achieved in dilute solutions (e.g. dichloromethane) was 96%, shown for comparison in the figure (dotted line). The bar graphs illustrate the degree of dopant charging in selected composites of varying PCMs where the liquid PCM molecules solvate dopants. The charging amount ranges from 28% to 90% depending on the heat storage temperature as indicated

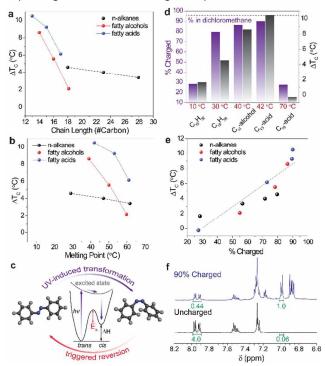


Fig. 3 (a)  $\Delta T_c$  measured for each composite and plotted against the chain length of each PCM. Composites with comparable charging levels (over 55%) were selected for plotting. (b)  $\Delta T_c$  plotted against the melting point of each PCM. (c) Energy diagram of azobenzene isomerization highlighting the activation barrier for the triggered reversion process. (d) Charging amount of azobenzene in PCMs measured by <sup>1</sup>H NMR and the corresponding  $\Delta T_c$  value. The purple dotted line represents the max. charging amount of azobenzene dopant in dilute dichloromethane solution (ca.  $5 \times 10^4$  M) at 25 °C which allows for unhindered and complete charging due to the low concentration and viscosity of environment, as opposed to that in PCM melt. Depending on the temperature of PCM and miscibility of azobenzene in PCM, the charging amount varies. (e)  $\Delta T_c$  plotted against charging amount. The dotted line gives visual guidance, showing the correlation between the two terms. (f) Representative <sup>1</sup>H NMR plots of PCM composites before (bottom) and after (too) UV activation.

under each graph. We observed that  $\Delta T_c$  of each composite generally scales with the charging amount which is separately measured by <sup>1</sup>H NMR. Fig. 3e confirms that charging amount is indeed one of the most significant factors that influence the degree of supercooling,  $\Delta T_c$ . In the case of  $C_{18}H_{38}$ , the charging was very efficient, achieving 80% conversion of azobenzene

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dopants from trans to cis, while  $\Delta T_c$  is lower than the predicted value according to the general trend shown in Fig. 3e. We observed that non-polar PCMs are generally less supercooled than polar counterparts, as a result of the weaker interaction with cis-Azo (Fig. S3). The measurement of charging amount is performed by integrating the relative <sup>1</sup>H NMR peaks that correspond to the aromatic protons on trans and cis dopants, as shown in Fig. 3f.

Fig. 4a summarizes the measured values,  $\rm T_1$  and  $\rm T_2,$  from the charged and uncharged composites containing 50 wt% of azobenzene. This type of chart can provide guidance for the selection of suitable PCM composites for a given application that necessitates a certain condition for heat storage such as storage time. Based on the range of chemistries considered and the comparison between PCM systems with diverse physical properties, we are able to decouple several factors that influence  $\Delta T_c$  during different stages of the thermal storage cycle (Fig. 4b). Before UV activation, the interaction between molten PCM and trans-Azo molecules defines how effectively the additives are solvated by the PCM. If the binding energy (E<sub>b</sub>) among trans-Azo additives is strong and the solvation is relatively weak, the additives remain aggregated and are less prone to photo-switching upon UV illumination.<sup>34</sup> Therefore, the selection of PCM and its polarity heavily affects the UV charging amount (% charged) which our results show to be one of the most significant factors that determine  $\Delta T_c$  (Fig. 3e). Once trans-Azo is UV activated, the interaction between PCM and cis-Azo plays a dominant role in

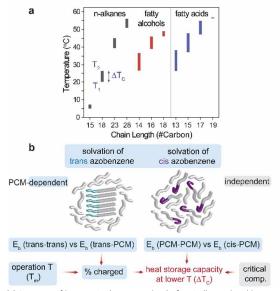


Fig. 4 (a) Summary of key metrics ( $\Delta T_c$ ,  $T_1$ , and  $T_2$ ) of optically-regulated heat storage systems based on various organic PCMs. (b) A schematic illustration describing each PCM-dependent and PCM-independent factor (blue and grey boxed term) that influences  $\Delta T_c$ . E<sub>b</sub> ( $\alpha$ - $\beta$ ) indicates the binding energy between  $\alpha$  and  $\beta$ . T<sub>m</sub> is the melting point of the PCM, and the red arrows show the direction of influence.

the supercooling process. In this case, if  $E_b$  among PCM molecules is strong relative to  $E_b$  between PCM and cis-Azo, the crystallization of the PCM is likely to occur as the temperature drops. The selection of a PCM that interacts strongly with the cis-Azo can thus enhance stabilization of the

liquid PCM composite at lower temperatures, increasing  $\Delta T_c$ . The operation temperature which is dependent on the PCM selection (Fig. 3b) also determines the charging amount of additives. Lastly, a PCM-independent factor is the critical composition (additive % in the composite), which should be considered when designing the heat storage systems.

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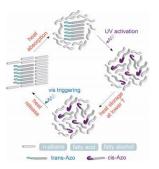
### **Conflicts of interest**

There are no conflicts to declare.

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Optical regulation of heat storage in diverse sets of organic phase-change materials is demonstrated and compared.